| et all three tests for Yes No X | a spouse or dependent child because they meet all three tests for | arned" income, or liabilities of Committee on Ethics. | EXEMPTION – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. |
|---|---|---|---|
| lave you excluded Yes No X | ther "excepted trusts" need not be disclosed. H | mittee on Ethics and certain c pendent child? | TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? |
| 1 OF THESE QUESTIONS | N - ANSWER <u>BOTH</u> OF THESE | UST INFORMATION | EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH |
| COMPLETE | THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE | Y THE SCHEDULES | THIS FORM INCLUDES ON |
| 799 | HEDULE IF YOU ANSWER "YES" | ATTACH THE CORRESPONDING SCHEDULE IF YOU AN | ATTACH THE CO |
| 1 \$5,000 from a Yes X No | J. Did you receive compensation of more than \$5,000 from single source in the current year and two prior years? | Yes X No | D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? |
| arrangement with an Yes X No the current calendar | F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? | Yes X No | C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? |
| g the reporting igh the date of filing? Yes X No | E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? | Yes X No | A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearmed income from any reportable asset during the reporting period? |
| | TIONS | I OF THESE QUES | PRELIMINARY INFORMATION - ANSWER <u>EACH</u> OF THESE QUESTIONS |
| A \$200 penaity shall be assessed against any Individual who files more than 30 days late. | Period Covered: January 1, 2017 to April 30, 2018 | Staff Filer Type (If Applicable): Shared Principal Assistant | New Officer or Employee S Employing Office: S |
| (Office Use Only) | Check if Amendment | NH 1st 2018 | New Member of or Candidate for State: New Member of or Candidate for State: New Member of Representatives District: 1: X |
| OFFICE OF THE CLERIC U.S. HOUSE OF REPRESENTATIVES | hone: | Daytime Telephone | Name: Christopher C. Pappas |
| LEGISLATIVE RESOURCE CENTER 7 18 HAY 23 PM 2: 09 | FORM B For New Members, Candidates, and New Employees | | UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT |

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Christopher C. Pappas Page 2 잌

| Ш | O | C | B | > | | - ; | 58 | | 5 d 5 4 4 8 % | ln ye | interior Exc | that bus | program | #5.0 | | 57 | æ a | Identify producti exceeding and (b) a which gincome | | |
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| BOA Savings - **25 | BOA Money Market | Puritan Confectionery | Pilgrim Realty | Rental Property, Manchester N | . | Examples: | İ | For a detailed discussion of schedule A requirements please refer to the instruction booklet. | If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left. | f you have a privately-traded fund that is an Excepted nvestment Fund, please check the "EIF" box. | Exclude: Your personal residence, including second norres and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. | ਨ ≚ੜ | For rental and other real property held for investment provide a complete address or description, e.g. rental property," and a city and state. | ro usin and other cash excounts, to us the amount in all interest-bearing excounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts. | the account that exceeds the reporting thresholds. | for all RAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in | Provide complete names of stocks and mutual funds (do not use only ticker symbols). | identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable esset or source of income which generated more than \$200 in "unearned" noome during the year. | Assets and/or Income Sources | ı |
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| gg | yΛ | 룷 | alty | ₹ | ABC Hedge Fund | Simon & Schuster | Mega Corp Stock | ction | ney rjoint | a ded | mes ing p | ğ a g = | prop city a | mest- | STA | ž g | a of s | and and and the | Ĭ | BLOCK A |
| '* |)ar | <u>Ş</u> . | • | Mar | Š | | Stock | 00 60 | far is | S T | deno (unio erioc the | A state | E SE | S STEEL | 8 | o de la come | ys stock | held with a with a had of the head to | Š | € |
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| $\vdash\vdash$ | | - | | | - | + | \vdash | + | ,001-\$50,000,000 0,000,000 | | | | | | | | *Column M is for assets held by your spouse or dependen child in which you have no interest. | Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting period and is included only because it generated income, the value should be "None." | | |
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| | | | | | | Γ | | Over \$5,000,000 | 1 | | 18 | | |
| | | | | | | | | Spouse/DC Income over \$1,000,000° ≦ | | | in a of | | J |
| _ | | | _ | | | | _ | | _ | | | | |

Use additional sheets if more space is required.

SCHEDULE C - EARNED INCOME

| Name: |
|-----------------------|
| Christopher C. Pappas |
| Page 4 |
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| of 7 |
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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,495. The 2017 limit is \$27,765. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

| | 1 | Am | Amount |
|---|---------------|------------------------|--|
| Course (include date of teception individually) | i ypo | Current Year to Filing | Preceding Year |
| ABC Trade Association, Baltimore, MD (July 15) | Honorarium | \$0 | \$500 |
| Examples: Civil War Roundtable (Oct. 2) | Spouse Speech | \$20,000 | \$1,000 |
| Orkario County Board of Education | Spouse Salary | ŇĀ | NA |
| Puritan Confectionery Co., Inc. | Earnings | \$36,400.00 | \$398,133.00 |
| State of New Hampshire | Salary | \$6,119.46 | \$16,722.84 |
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SCHEDULE D - LIABILITIES

Name: Christopher C. Pappas Page Ċ 잌 ~

period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. "Column K is for liabilities held solely by your spouse or dependent child. Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period by you, your spouse, or your dependent child.

| П | | | | | | | | ▶ | Amount of Liability | of Lia | bility | | | | |
|---------|-------------|------------------------------|--|--|-----------------------|-----------------------|------------------------|-------------------------|-------------------------|---------------------------|-----------------------------|------------------------------|-------------------------------|-------------------|--|
| | | | 1 | | * | 8 | 0 | D | m | TI | 6 | I | - | _ | ~ |
| БС, УТ. | | Creditor | Date Liability Incurred MO/YR | Type of Liability | \$10,001- \$15,000 | \$15,001- \$50,000 | \$50,001- \$100,000 | \$100,001- \$250,000 | \$250,001- \$500,000 | \$500,001- \$1,000,000 | \$1,000,001- \$5,000,000 | \$5,000,001- \$25,000,000 | \$25,000,001- \$50,000,000 | Over \$50,000,000 | Over \$1,000,000* (Spouse/DC Liability) |
| | Example | First Bank of Wilmington, DE | 5/98 | Mortgage on Rental Property, Dover, DE | | | | × | | | | | | | |
| | C. Stergiou | ergiou | 1/2016 | Stock Purchase | | | | | | × | | | : | | |
| | A. Pappas | ppas | 12/2005 | Stock Purchase | | | | × | | | | | | | |
| | Merrir | Merrimack Mortgage Company | 3/2015 | Mortgage, Manchester, NH | | | | × | | | | | | | |
| | Puritar | Puritan Confectionery | 4/2018 | Personal Loan | | | × | | | | | | | | |
| | | | | | | | | | | | | | | | |

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year. First-year candidates and new employees report positions held in the current calendar year and two previous years.

| Position | Position Name of Organization |
|---------------------------|-----------------------------------|
| Vice President & Director | Puritan Confectionery Co., Inc. |
| Member | Pilgrim Realty, LLC |
| Treasurer | Manchester Historic Association |
| Board Member | The Mary Gale Foundation |
| Board Member | Pearl Manor Fund, Elliot Hospital |

Board of Overseers

St. Paul's Advanced Studies Program

SCHEDULE F - AGREEMENTS

Name: Christopher C. Pappas Page 6 of 7

| Date | Parties to Agreement | Terms of Agreement |
|----------|---------------------------------|------------------------------|
| 1/1/12 | Puritan Confectionery Co., Inc. | Shareholder Buyout Agreement |
| 1/2/2014 | Pilgrim Realty, LLC | Member Buyout Agreement |
| | | |
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SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

| government | ind any information considered confidential as a result of a | government and any miormation considered confidential as a result of a privileged relationiship recognized by law. To not repeat miormation taked on occasions to |
|------------|--|---|
| | Source (Name and City/State) | Brief Description of Duties |
| Example: | Doe Jones & Smith, Hometown, Homestate | Accounting Services |
| Purita | Puritan Confectionery Co., Inc., Manchester, NH | Restaurant Manager |
| State | State of New Hampshire | Executive Council - Constituent Representation |
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Page 7 of 7 Christopher C. Pappas Schedule A Additional Sheet Assets & "Unearned Income"

Schedule A

H - IRA Mutual Funds

| Asset and/or Income Source | Value of Asset |
|--|-----------------|
| American Funds Smallcap World Fund, | \$15001-\$50000 |
| MFS International Value I, | \$1001-\$15000 |
| AllianzGI NFJ Mid-Cap Value A, | \$1001-\$15000 |
| AB Large Cap Growth Fund, | \$1001-\$15000 |
| American Beacon Bridgeway Large Cap Value Fund, | \$1001-\$15000 |
| American Funds The Growth Fund of America, | \$1001-\$15000 |
| American Beacon SiM High Yield Opportunities Fund Y Class, | \$1001-\$15000 |
| Fidelity Advisor Emerging Markets Income Fund Class I, | \$1001-\$15000 |
| American Funds New World Fund Class A, | \$1001-\$15000 |
| Harbor Small Cap Value Fund Institutional Class, | \$1001-\$15000 |
| En Trust Permal Alternative Core Fund Class I, | \$1001-\$15000 |
| AIG Focused Dividend Strategy Fund Class W, | \$1001-\$15000 |
| Oakmark International Advisory Fund, | \$1001-\$15000 |
| Principal Mid-Cap Fund Institutional Class, | \$1001-\$15000 |
| Victory Sycamore Established Value Fund Class I, | \$1001-\$15000 |
| Virtus Vontobel Emerging Markets Opportunities Fund Class I, | \$1001-\$15000 |
| Oppenheimer Developing Markets Fund Class Y, | \$1001-\$15000 |
| Natixis ASG Managed Futures Strategy Fund Class Y, | \$1001-\$15000 |
| AQR Managed Futures Strategy Fund Class I, | \$1001-\$15000 |
| Columbia Bal Instl Cl I, | \$1001-\$15000 |